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# Spectroscopic studies of the 1.5  $\mu$ m ( ${}^4I_{15/2}$   $\rightarrow$   ${}^4I_{13/2}$ ) emission from polycrystalline ceramic Er:YAG and Er:KPb2Cl<sub>5</sub>

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# ABSTRACT

The 1.5  $\mu$ m emission from Er $^{3+}$ ions continues to be of current interest for applications in optical communications and eye-safe solid-state lasers. Recently, significant attention has been focused on the development of 1.5–1.6  $\mu$ m Er<sup>3+</sup> solid-state lasers with resonant pumping of the <sup>4</sup>I<sub>13/2</sub>  $\leftrightarrow$ <sup>4</sup>I<sub>15/2</sub> transition. The motivation for resonantly pumped  $Er^{3+}$  lasers lies in the reduced thermal load, which is critical for high power laser application. In this work we present results of the infrared optical properties of polycrystalline ceramic Er:YAG and Er:KPb<sub>2</sub>Cl<sub>5</sub> including absorption and emission studies, lifetime measurements, and calculations of 1.5  $\mu$ m emission cross-sections using the reciprocity and Fuchtbauer–Ladenburg methods.

laser levels [\[1–10\].](#page-3-0)

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AND ALLOYS

## **1. Introduction**

The development of solid-state gain media for the  $\sim$ 1.5–1.6  $\mu$ m region continues to be of significant current interest for applications in optical communications and eye-safe laser applications [\[1–3\].](#page-3-0) Significant advances were recently reported in the development of  $\sim$ 1.6 μm Er<sup>3+</sup> lasers (e.g. Er:YAG), which are resonantly pumped between Stark levels of the <sup>4</sup>I<sub>15/2</sub> ↔ <sup>4</sup>I<sub>13/2</sub> transition [\[4–10\].](#page-3-0)<br>The interest in resonantly pumped Er<sup>3+</sup> lasers has been stimulated by the availability of new long-wavelength pump sources including Er $^{3+}$  fiber lasers and  $\sim$ 1.5 µm diode-laser arrays. In contrast to pumping into the <sup>4</sup>I<sub>9/2</sub> excited state of Er<sup>3+</sup> at ∼980 nm, resonant pumping of the  ${}^{4}I_{13/2}$  level provides the advantage of a smaller heat load due to a reduced quantum defect.

The development of high quality ceramic  $Y_3AI_5O_{12}$  (YAG) doped with trivalent rare earth ions has made an enormous impact on the field of solid-state lasers and is envisioned to replace single-crystal YAG laser rods used in current applications [\[11–13\].](#page-3-0) Transparent ceramics offer several important advantages over single crystals including ease of fabrication at reduced cost, higher rare earth homogeneity and concentration, the possibility of multi-layer structures, and fabrication of larger sizes [\[11–13\]. M](#page-3-0)any studies on ceramic YAG have been concentrated on the material fabrication, characterization, and laser performance of Nd:YAG and Yb:YAG

# ceramics for  $\sim$ 1 μm laser applications. Only a few studies were reported so far on the spectroscopic properties of Er:YAG ceramics for solid-state laser applications [\[14–17\]. I](#page-3-0)n most cases, the investigated Er:YAG ceramics had a 50 at.%  $Er<sup>3+</sup>$  concentration, which is too high for 1.5  $\mu$ m eye-safe laser applications [\[16,17\].](#page-3-0) The emission quantum efficiency of the  $1.5 \mu$ m emission was determined to be only ∼26.4% for highly doped Er:YAG ceramics, which was attributed to upconversion and cross-relaxation processes [\[16\]. F](#page-3-0)or quasi-three level laser operation of the  ${}^4I_{13/2} \leftrightarrow {}^4I_{15/2}$  transition it is important to keep the  $Er^{3+}$  concentration low (1 at.% or less) in order to minimize re-absorption losses at the laser wavelength and to reduce upconversion losses that depopulate the pump and upper

Compared to oxide and fluoride laser hosts, Er-doped crystals with small maximum phonon energies provide the advantage of reduced non-radiative relaxation rates leading to high emission quantum efficiencies. Ternary lead halides such as  $KPD_2Cl_5$ and KP $b_2$ Br<sub>5</sub> were recently identified as a novel class of lowphonon energy laser hosts [\[18–26\].](#page-3-0) Efficient emission at near and mid-IR wavelengths have been reported from several rare earth-doped KPb<sub>2</sub>Cl<sub>5</sub> and KPb<sub>2</sub>Br<sub>5</sub> crystals [\[18–24\]. M](#page-3-0)oreover, several laser demonstrations from rare earth-doped  $KPb<sub>2</sub>Cl<sub>5</sub>$  crystals have been reported including  $Er: KPb_2Cl_5$  (Er:KPC) at 1.7  $\mu$ m and  $4.5 \,\mathrm{\upmu m}$  [\[22\].](#page-3-0) Recently, it was also shown that energy-transfer upconversion processes in Er:KPC are orders of magnitude lower compared to common oxide and fluoride laser hosts, which further reduces heat loading in resonantly pumped 1.5  $\mu$ m Er<sup>3+</sup> lasers [\[23\].](#page-3-0)



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In this paper we report spectroscopic results of the 1.5  $\upmu$ m absorption and emission properties in polycrystalline Er:YAG and Er:KPC. A commercial Er:YAG ceramic was used in these studies, whereas Er:KPC crystals were grown using in-house facilities. The emission cross-sections were determined for low concentration samples (∼0.5 at.%) using a combination of the reciprocity and Fuchtbauer–Ladenburg (FL) methods.

# **2. Experimental considerations**

A sample of a polycrystalline ceramic Er:YAG was purchased from Baikowski International Corporation (Charlotte, North Carolina) with the dimension  $5$  mm  $\times$  5 mm  $\times$  3 mm. The Er concentration as provided by the manufacturer was 0.5 at.%. The investigated Er:KPC crystals were grown using in-house crystal growth facilities as described previously [\[21,24\]. T](#page-3-0)he synthesized KPC material was purified through a combination of directional solidification, zone-refinement, and chlorination of the melt using research grade HCl gas. The Er:KPC crystals were subsequently grown using a modified Bridgman growth technique.

Absorption spectra were measured using a Cary 5000 spectrophotometer with a fixed spectral bandwidth of 0.5 nm. The near-IR emission was excited using a modulated (70 Hz) 972 nm diode-laser and dispersed with a 0.5-m spectrometer. The spectrometer was equipped with a 600 grooves/mm reflecting grating blazed at 1 μm. The spectral resolution in all emission measurements was ∼0.5 nm. A long pass filter with a cut-on wavelength of 1100 nm was placed in front of the entrance slit of the spectrometer to block laser scattering. The emission signal was recorded using a thermoelectrically cooled InGaAs detector in conjunction with a lockin amplifier. All recorded emission spectra were carefully calibrated for the spectral response of the experimental setup. For emission lifetime studies the 965 nm output of a pulsed (5 ns) Nd:YAG pumped Optical Parameteric Oscillator was employed as the pumped source. The entire emission from the  ${}^{4}I_{15/2} \rightarrow {}^{4}I_{13/2}$  transition was monitored using a 1.5  $\mu$ m bandpass filter placed directly in front of the detector. The decay transients were averaged and recorded using a digital oscilloscope.

#### **3. Optical characterization**

### *3.1. Polycrystalline ceramic Er:YAG*

The room-temperature absorption spectrum of the  ${}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2}$  transition of ceramic Er:YAG is shown in Fig. 1. The spectral position and stark-splittings of the observed absorp-



**Fig. 1.** Absorption and emission cross-section spectra for the  ${}^4I_{15/2} \leftrightarrow {}^4I_{13/2}$  transition of ceramic Er:YAG at room-temperature.

tion lines for ceramic Er:YAG closely matched reported data for single-crystal Er:YAG [\[1,2,10\].](#page-3-0) The absorption cross-section was calculated using an Er concentration of  $6.9 \times 10^{19}$  cm<sup>3</sup> as determined by inductively coupled plasma optical emission spectroscopy (ICP-OES). The measured Er concentration agreed well with the nominal concentration of 0.5 at.% provided by the manufacturer.

The absorption cross-section for ceramic Er:YAG at the most common pump wavelengths of 1475 nm and 1532 nm were determined to be  $1.8 \times 10^{-20}$  cm<sup>2</sup> and  $2.3 \times 10^{-20}$  cm<sup>2</sup>, respectively. At the common Er:YAG laser wavelengths of 1617 nm and 1645 nm, the absorption cross-sections determining ground-state absorption losses were  $0.12 \times 10^{-20}$  cm<sup>2</sup> and  $0.06 \times 10^{-20}$  cm<sup>2</sup>, respectively. These numbers are in good agreement with recent spectroscopic results reported for single-crystal Er:YAG (0.5 at.%) [\[9,10\].](#page-3-0) It was noticed, however, that the absorption cross-section data reported in the literature vary slightly for single-crystal Er:YAG depending on the Er concentration and spectral resolution employed in the absorption measurements [\[1–10\].](#page-3-0)

The emission cross-section ( $\sigma_{\rm emis}$ ) for ceramic Er:YAG was cal-<br>ated using the reciprocity method, which relates absorption and culated using the reciprocity method, which relates absorption and emission cross-section [\[1\]:](#page-3-0)

$$
\sigma_{\text{emis}}^{\text{recip}}(\lambda) = \sigma_{\text{abs}}(\lambda) \frac{Z_1}{Z_{\text{u}}} \, \exp\left(\frac{E_{\text{ZL}} - hc/\lambda}{kT}\right) \tag{1}
$$

where  $\sigma_{\text{abs}}$  is the absorption cross-section,  $Z_{\text{l}}$  and  $Z_{\text{u}}$  are the parti-<br>tion functions of the lower and upper states, and  $E_{\text{u}}$  is the zero, line tion functions of the lower and upper states, and  $E_{ZL}$  is the zero-line energy.  $E_{ZL}$  is defined as the energy difference between the lowest stark component in the upper and lower levels. The partition function ratio  $Z_1/Z_u$  was calculated from published data on the energy level structure of ceramic Er:YAG and yielded a value of 1.055 [\[17\].](#page-3-0) The wavelength used for the zero-line  $(E_{ZL})$  was 1526 nm [\[17\].](#page-3-0) The obtained emission cross-section spectrum is shown in Fig. 1 and yielded values of  $0.67 \times 10^{-20}$  cm<sup>2</sup> and  $0.59 \times 10^{-20}$  cm<sup>2</sup> at the common laser wavelengths of 1617 nm and 1645 nm, respectively. These cross-sections are similar to reported values for single-crystal Er:YAG [\[1–10\], w](#page-3-0)hich further underlines that ceramic Er:YAG has comparable optical properties to its crystalline counterpart. The emission cross-section spectrum was also determined from the well-known FL equation [\[20\]:](#page-3-0)

$$
\sigma_{\text{emis}}^{\text{FL}}(\lambda) = \frac{\beta \lambda^5 I(\lambda)}{8\pi n^2 c \tau_{\text{rad}} \int \lambda I(\lambda) \, \mathrm{d}\lambda} \tag{2}
$$

where  $\beta$  is the branching ratio, *n* is the refractive index, *c* is the speed of light,  $I(\lambda)$  is the intensity of the corrected emission spectrum, and  $\tau_{rad}$  is the radiative lifetime of the  ${}^{4}I_{13/2}$  multiplet.  $\tau_{rad}$  was calculated to be 6.2 ms from the condition that the integrated emission cross-sections derived from the reciprocity and FL methods should be equal. The room-temperature lifetime for ceramic Er:YAG powder was measured to be 5.9 ms (see [Fig. 2\),](#page-2-0) which supports the internal consistency of the emission cross-section calculations. It was noticed thus, that the measured emission spectrum was slightly impacted by re-absorption losses at wavelengths lower than ∼1550 nm, which led to reduced emission cross-sections values compared to those obtained from the reciprocity method. Based on the cross-section analysis, the emission quantum efficiency of the investigated ceramic Er:YAG (0.5 at.%.) sample was estimated to be ∼95%, which compares well with the 92.5% emission efficiency reported for single-crystal Er:YAG [\[10\].](#page-3-0)

# *3.2. Er:KPb2Cl5*

The  $1.5 \mu m$  absorption and emission cross-section spectra  $({}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2})$  for Er:KPC are shown in [Fig. 3. I](#page-2-0)n contrast to ceramic Er:YAG, the IR spectra for Er:KPC are relatively broad with only a

<span id="page-2-0"></span>

**Fig. 2.** Emission decay transients for ceramic Er:YAG and Er:KPC excited at 965 nm.

few structures indicating Stark-level splittings. A detailed Starklevel analysis was recently performed on Er:KPC [\[25,26\]](#page-3-0) indicating a ground-state splitting of  $\sim$ 240 cm<sup>-1</sup> compared to ~575 cm<sup>-1</sup> reported for ceramic Er:YAG [\[17\].](#page-3-0) The reciprocity method was applied to determine the emission cross-section spectrum for Er:KPC (Fig. 3). The partition functions were calculated from the energy level structure of Er:KPC and the ratio  $Z_1/Z_{U}$  yielded a value of ~1.1 [\[19,25\]. T](#page-3-0)he wavelength used for the zero-line (*E*<sub>ZL</sub>) was 1535.4 nm [\[25\]. T](#page-3-0)he resulting peak emission cross-section at 1536 nm was determined to be  $1.1 \times 10^{-20}$  cm<sup>2</sup>. The cross-sections at the longer wavelength peaks of 1552 nm and 1582 nm were reduced to values of  $0.89 \times 10^{-20}$  cm<sup>2</sup> and  $0.29 \times 10^{-20}$  cm<sup>2</sup>, respectively. For consistency check, the emission cross-section was also calculated using the FL-method as shown in Fig. 3 using a radiative lifetime of 4.3 ms. This lifetime is in good agreement with the radiative lifetime of 4.2 ms derived from a Judd–Ofelt analysis [\[19\].](#page-3-0)



**Fig. 3.** Absorption and emission cross-section spectra for Er:KPC at roomtemperature.



**Fig. 4.** Gain cross-section spectra for the  $1.5 \mu m$  transition in ceramic Er:YAG and Er:KPC for different population inversion ratios ( $\beta$  = 0.25, 0.5, and 0.75).

The experimental lifetime from a low concentration Er:KPC powder was determined to be 5.7 ms (Fig. 2), which suggests some residual effect of radiation trapping [\[19\]. T](#page-3-0)he initial rise-time in the 1.5  $\mu$ m lifetime transient can be attributed to radiative feeding from the  $^{4}I_{11/2}$  excited state.

# 3.3. Gain cross-sections for ceramic Er:YAG and Er:KPb<sub>2</sub>Cl<sub>5</sub>

Using the obtained absorption and emission cross-sections for ceramic Er:YAG and Er:KPC the gain cross-sections were calculated according to [\[2\]:](#page-3-0)

$$
g(\lambda) = \beta \sigma_{\text{emis}}(\lambda) - (1 - \beta) \sigma_{\text{abs}}(\lambda)
$$
 (3)

where  $\beta = N_{\text{exc}}/N_{\text{tot}}$  is the inversion ratio with  $N_{\text{exc}}$  and  $N_{\text{tot}}$  being the  $Er^{3+}$  excited state and total  $Er^{3+}$  populations, respectively. Examples of the gain cross-section spectra for  $\beta$  = 0.25, 0.5, and 0.75 are shown in Fig. 4. The peak gain cross-section in Er:KPC for  $\beta$  = 0.75 is only half the value determined for ceramic Er:YAG, which would lead to a significantly higher laser threshold. Furthermore, it can be noticed that higher population inversion ratios are required for Er:KPC than for ceramic Er:YAG to achieve a positive gain crosssection at longer wavelengths. For example, for ceramic Er:YAG already 25% population inversion leads to a gain cross-section of  $\sim$ 0.1 × 10<sup>-20</sup> cm<sup>2</sup> at the common laser wavelength of 1647 nm. On the contrary, nearly 50% population inversion is needed for Er:KPC to achieve a positive gain of  $\sim 0.1 \times 10^{-20}$  cm<sup>2</sup> at the longwavelength peak at 1582 nm. This can be explained by the larger Stark-level splittings in ceramic Er:YAG compared to Er:KPC, which leads to reduced re-absorption losses at longer wavelengths. However, the significant spectral overlap between the  $\frac{4I_{13/2}}{4I_{15/2}}$ emission and  ${}^4I_{13/2}$   $\rightarrow$   ${}^4I_{9/2}$  excited state absorption in Er:YAG leads to a larger energy-transfer upconversion coefficient and higher heat loading compared to Er:KPC [\[23\].](#page-3-0)

# **4. Conclusions**

Spectroscopic results of the  $1.5 \,\mu \text{m}$  ( ${}^4I_{15/2} \leftrightarrow {}^4I_{13/2}$ ) absorption and emission properties of ceramic Er:YAG and Er:KPC were presented. It was observed that the spectral properties and crosssections of ceramic Er:YAG are very similar to results reported for single crystals of Er:YAG. Therefore, it can be predicted that  $1.5$ –1.6  $\mu$ m lasers using ceramic Er:YAG will have comparable laser properties to their crystalline counterparts, with the added advantages intrinsic to ceramic gain media. Compared to ceramic Er:YAG, Er:KPC has significantly broader spectral features providing the possibility for modest wavelength tunability in the  $1.5 \mu m$  region.

<span id="page-3-0"></span>The smaller ground-state splitting for Er:KPC compared to Er:YAG, however, leads to significant ground-state re-absorption due to higher thermal populations in the Stark levels of the  $\frac{4}{15/2}$  multiplet. In addition, further improvement in the material purification and crystal growth are necessary to produce laser quality Er:KPC crystals for the 1.5  $\upmu$ m spectral region.

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